

10<sup>TH</sup> ASIA PACIFIC WATER FORUM (APWF) WEBINAR

# Integrity at GCF: From Accreditation to Implementation

Ibrahim Pam | Head, Independent Integrity Unit

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# GCF Projects in the Asia Pacific Region | May 2021

**72**

Projects

**42%**

of GCF Projects are  
in the Asia Pacific

**USD 3.3 B**

In GCF Funding

**273 M+**

Beneficiaries

**215**

Countries  
and beyond

## 8 ASIA-PACIFIC PROJECTS APPROVED IN 2021 / B28



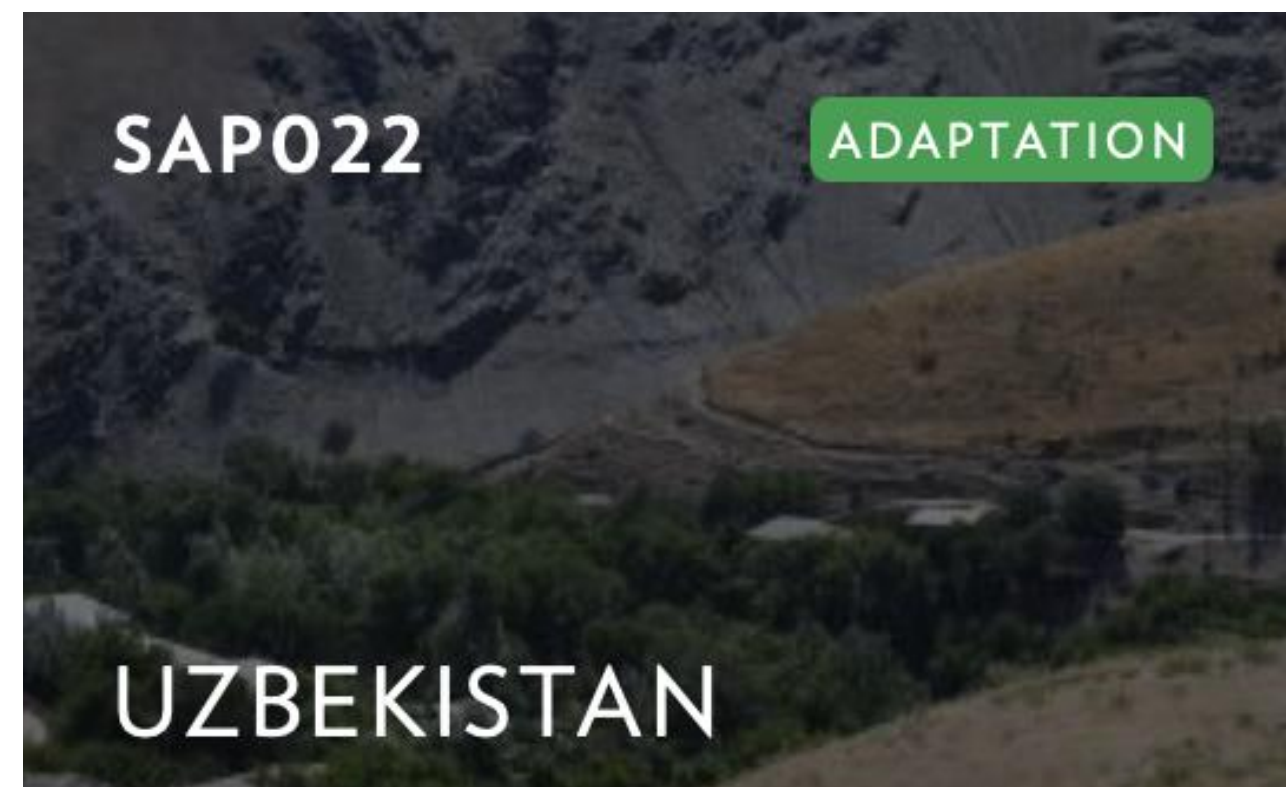
**39%** of GCF Projects are water-related

**USD 2.7 B** Total commitment to the water sector

**54** Projects are under implementation

**28**

Water Related Projects in the Asia Pacific Region



SAP022

ADAPTATION

UZBEKISTAN

Enhancing Multi-Hazard Early Warning System to increase resilience of Uzbekistan communities to climate change induced hazards



SAP021

MITIGATION

TIMOR-LESTE

Community-based Landscape Management for Enhanced Climate Resilience and Reduction of Deforestation in Critical Watersheds



FP155

ADAPTATION

JORDAN

Building resilience to cope with climate change in Jordan through improving water use efficiency in the agriculture sector (BRCCJ)



## Entity Accreditation Process at GCF

### SUBMISSION

- Organizations prepare and submit their applications to GCF.

### ASSESSMENT

- GCF reviews the application to ensure that mandates are aligned and checks capacity and risks.

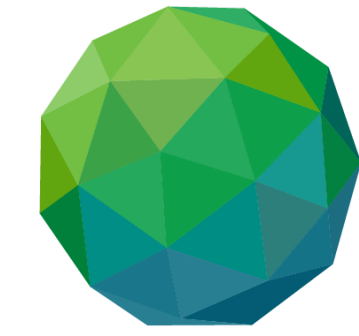
### BOARD DECISION

- The application is recommended to the Board for approval.

### LEGAL

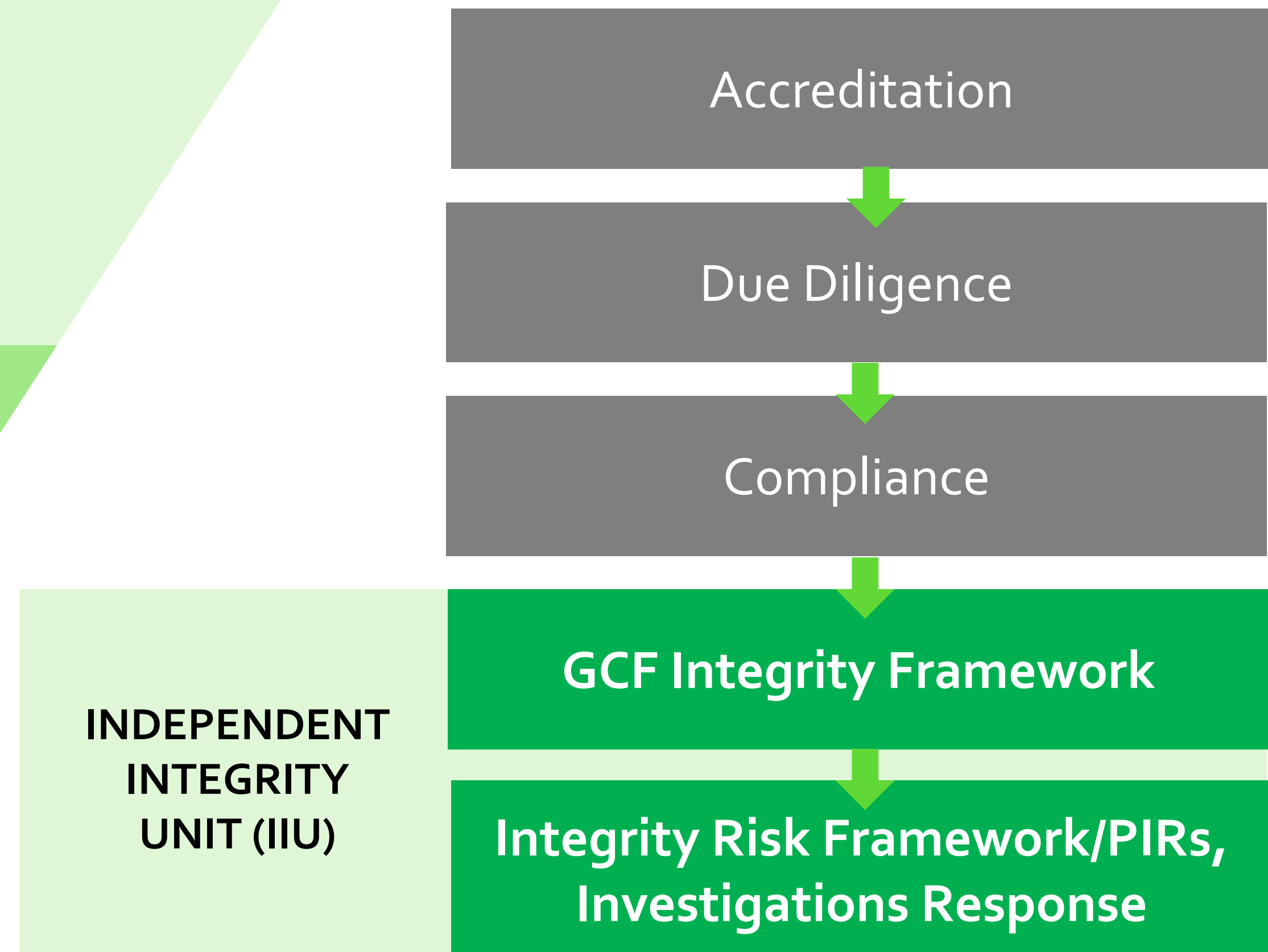
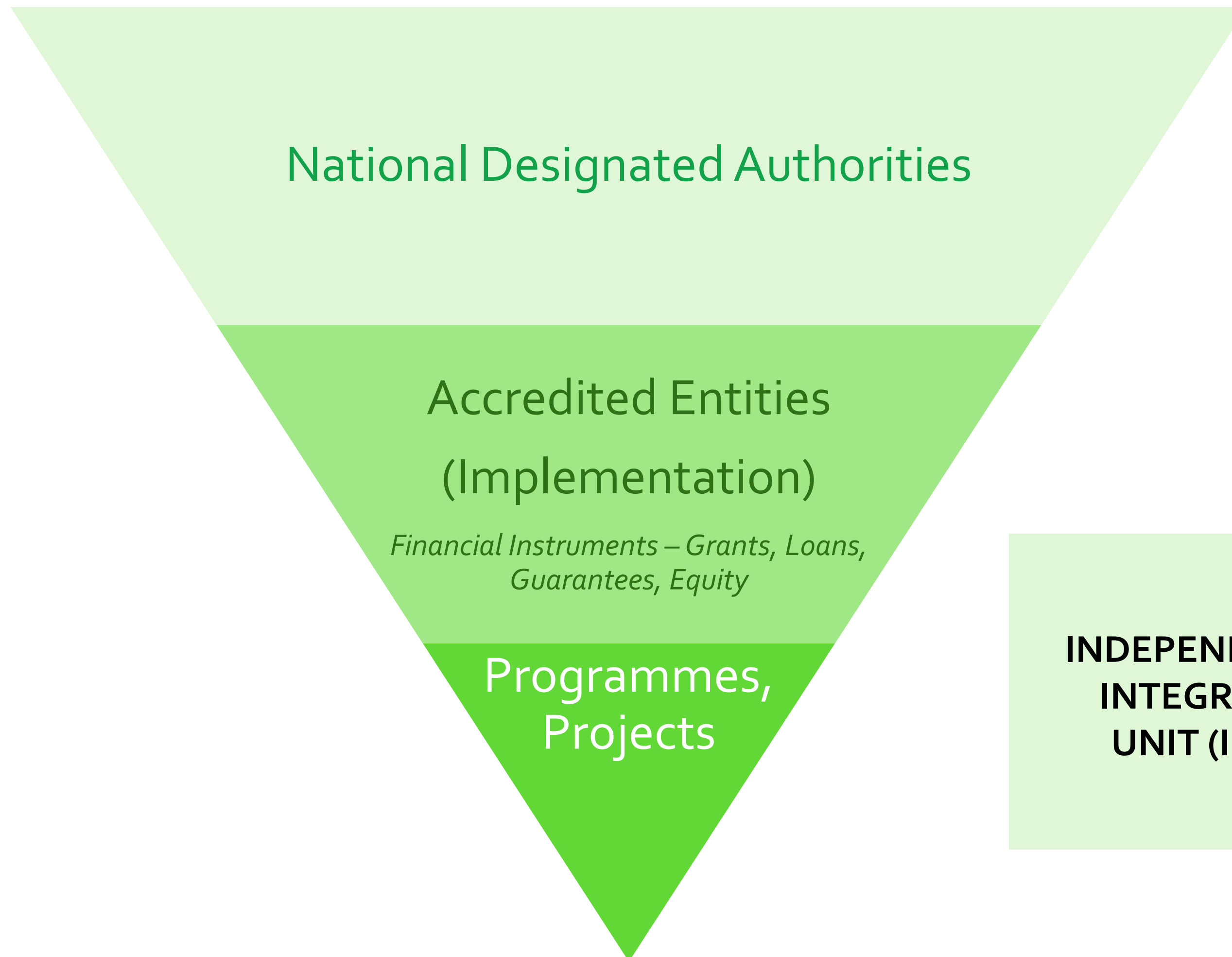
- The approved Accredited Entity signs an Accreditation Master Agreement and is required to do a yearly self-assessment.
- Entities re-apply every 5 years to retain their status.

# THE GCF BUSINESS MODEL & THE ROLE OF IIU



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## **MISSION**

Ensures that GCF stakeholders adhere to the highest standards of integrity to safeguard the lawful and efficient utilisation of GCF resources.

## **VISION**

- Global leadership in climate finance integrity
- Provide strategic leadership and form strategic alliances



**PREVENTION**



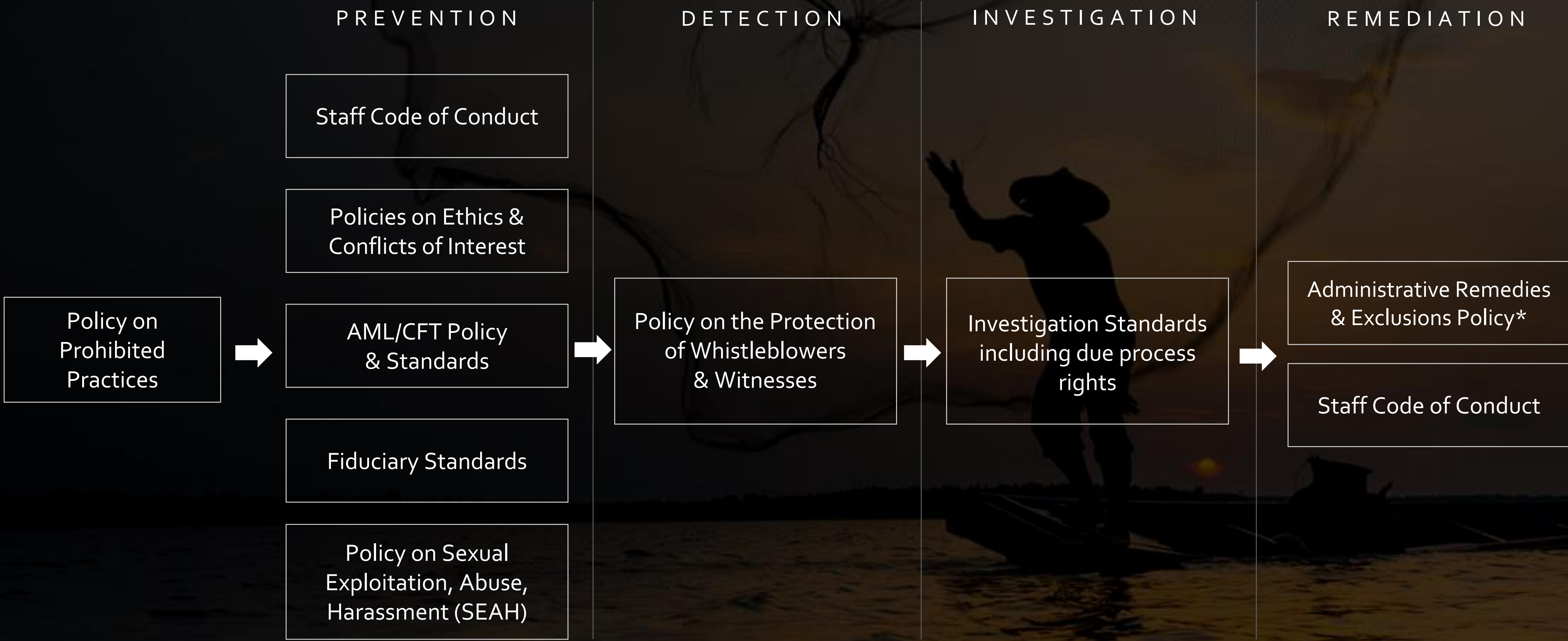
**INVESTIGATION**

# GCF INTEGRITY FRAMEWORK



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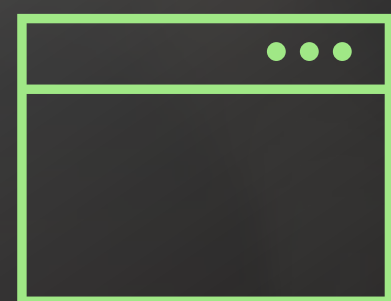
# Integrity Tools



Digital Forensics  
& Data Analytics



Proactive Integrity  
Reviews



Integrity Due  
Diligence Platform



Investigation  
Standards & Manual



Outreach &  
Capacity Building



Advisories on Policy  
Implementation





## Digital Forensics & Data Analytics

- Enables comparative analysis of investigations and operations.
- Capture data from digital sources such as servers, emails, docs, SMS, WhatsApp, Facebook, browser, etc.
- Analyse and process collected data into actionable information for use in the investigation process.



## Integrity Due Diligence Platform

- Designed to take in program & project data with input from external sources to detect potential Red Flags.
- Proactively informs stakeholders of potentials risks and provide opportunities for the IIU intervene early when Red Flags are detected.



## **Investigation Standards & Manual**

- Presented and consulted with GCF stakeholders.
- Articulates the operating procedures of the Investigation.
- Periodic self-assessment and improvement for protocols, templates and standard forms to streamline operations.

**Madrid Alliance (2019)** formed during the 1st GCF Integrity Forum, following the 25th United Nations Climate Change Conference (COP25)



**21** Organizations

**30** Participants

- **Effective Institutions Platform (EIP)**
- **Peer-to-Peer Learning Alliance (P2P-LA) on Climate Finance Integrity (2017)**



Outreach &  
Capacity Building

- Process-oriented tool that examines operations, transactions, procedures, actors, and activities of GCF-funded activities.
- Assess whether internal policies, procedures, or controls are in place, and are operating effectively.
- Proactive, Early Action - Assesses risk and enables a mitigation strategy.
- Support GCF Counterparties by identifying capacity-building needs.
- Not an investigation.

- Important tool to mitigate risks of integrity violations in policies.
- Provided upon request of stakeholders.



### Proactive Integrity Reviews



### Advisories on Policy Implementation

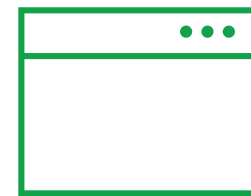
# HOW INTEGRITY TOOLS WORK IN GCF OPERATIONS



Proactive Integrity Reviews



Advisories on Policy Implementation



Integrity Due Diligence Platform

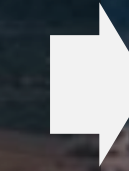
ACCREDITATION



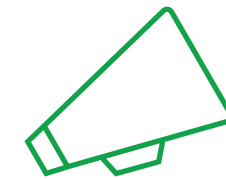
PROJECT  
APPROVAL



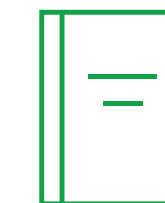
IMPLEMENTATION



*and beyond..*



Outreach & Capacity Building



Investigation Standards & Manual



Digital Forensics & Data Analytics



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## KEY TAKEAWAYS

### 0% Corruption, 100% Climate Action

- Integrity is a vital element in GCF projects for the water sector and in the Asia-Pacific.
- The IIU ensures Integrity through its range of innovative tools aligned with international best practices.
- Accredited Entities must comply with GCF Fiduciary Standards to receive project funding.
- The IIU applies a comprehensive approach to its Integrity practices from prevention and detection, to investigations and remediation.
- Entities can rely on the IIU for technical support in building their Integrity capacity.



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0% CORRUPTION, 100% CLIMATE ACTION

Report to the IIU | [integrity@gcfund.org](mailto:integrity@gcfund.org)

Website | [iiu.greenclimate.fund](http://iiu.greenclimate.fund)